



SEFL\SECT\MS\19-20\05
April 19, 2019

BSE Limited
Corporate Relationship Department
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai – 400 001
Fax: 022-2272 2037/2039/2041/3121

National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block, Bandra Kurla
Complex, Bandra (East),
Mumbai – 400 051
Fax: 022-2659 8237/38; 2659 8347/48

Dear Sirs,

Sub: Investor Complaints – Quarter ended on 31st March, 2019

Pursuant to Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Statement on Investor Complaints as furnished by Registrar and Share Transfer Agents for the Quarter ended on 31st March, 2019.

This is for your information and record.

Thanking you,

Yours faithfully,
For **Srei Equipment Finance Limited**


Ritu Bhojak
Company Secretary
FCS 8532

Encl.: as above

Srei Equipment Finance Limited

CIN: U70101WB2006PLC109898

Head Office : Plot No. Y-10, Block EP, Sector-V, Salt Lake City, Kolkata-700091
Tel: +91 33 6639 4700 / 6602 2000 Fax: +91 33 6602 2200 / 2600 / 18002667734 (Toll Free)
Email: sefpl@srei.com Website: www.sreiequipment.com
Registered Office : 'Vishwakarma' 86C, Topsia Road (South), Kolkata-700046

KCPL/SIFL/RIS/IGR/MAR/2019

Date: April 04, 2019

Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City,
Sector - V, Kolkata - 700 091

Kind Attn: Mr. Samir Kejriwal (SVP - Treasury)

Dear Sir,

Unit: Srei Equipment Finance Limited
Sub: Investor Grievance for the Period from 01.01.2019 to 31.03.2019 for Debentures/ Bonds

Pursuant to Regulation 13(3) of (SEBI Listing obligations and Disclosure Requirements) Regulation, 2015. We are hereunder providing the status of investor complaints with respect to debentures/ Bonds for the quarter ended March 31, 2019.

Description	Total
Number of Investor Complaints Pending at the beginning of the Quarter	04
Received During the Quarter	132
Resolved Queries during the Quarter	134
Unresolved Queries at the end of the Quarter	02

Break-up of Investors' Grievances received during the quarter 31.03.2019:

Non Receipt of Interest Warrant	:	105
Non Receipt of Redemption Warrant	:	02
Non Receipt of Refund Order	:	01
Non Receipt of Securities	:	17
Non Receipt of Securities after transfer	:	02
Status of Applications Lodged For Public Issue(S)	:	04
Score Complaints	:	01
Total	:	132

Thanking you,

Yours Faithfully
For Karvy Fintech Pvt. Ltd.

Chandra Shekhar C
Deputy Manager



Karvy Fintech Private Limited (KFPL)
(Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

Karvy Selenium Tower-B, Plot No. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032, Telangana.
Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U72400TG2017PTC117649



S. K. INFOSOLUTIONS PVT. LTD.

(SEBI Approved Registrars & Share Transfer Agents with Connectivity of NSDL & CDSL)
34/1A Sudhir Chatterjee Street, Kolkata - 700 006
Telephones - (33) 2219-6797 Fax - (33) 2219-4815
Email: mail@skcomputers.net Website: www.skcomputers.net

Ref : SKC/srei/STATUS_NCD/-01-01-2019 TO 31-03-2019.

Date : 31.03.2019

M/S SREI EQUIPMENT FINANCE LIMITED
PLOT NO-Y-10,BLOCK-EP,SECTOR-V.SALT LAKE CITY
KOLKATA
PIN-700091

Dear Sir,

Re : Status of Investors' Complaints for the quarter
ended 31.03.2019. (NCD)

1. No. of investor complaints pending at the beginning of the quarter = N-I-1
2. No of investor complaints received during the quarter (01-01-2019 TO 31-03-2019) = NIL
3. No.of investor complaints disposed off during the quarter (01-01-2019 TO 31-03-2019) = NIL
4. No.of investor complaints lying unresolved at the end of the quarter = N.A

Thanking you,

For S K Infosolutions Pvt Ltd
D Bhattacharya



Director

To Whomsoever It May Concern

KFPL /SEFL/QTR/JAN-19

The Company Secretary
Srei Equipment Finance Limited
Y-10, Block EP, Salt Lake City
Sector – V, Kolkata – 700 091.

Dear Sir,

Status of Complaints from Equity holders for the Period JANUARY 1, 2019 to MARCH 31, 2019

Particulars	No of Complaints
Complaints Pending as on JANUARY 1,2019	Nil
Complaints Received during the Period	Nil
Complaints resolved during the Period	Nil
Complaints Pending as on MARCH 31,2019	Nil

For Karvy Fintech Private Limited

V.V. Ramachandra
Ramachandra v v
Manager,



Place : Hyderabad

Date : 18-04-2019

Karvy Fintech Private Limited (KFPL)
(Formerly known as KCPL Advisory Services Private Limited)

Registered & Corporate Office

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Ph : +91 40 6716 2222, 3321 1000 | www.karvyfintech.com | CIN : U67200TG2017PTC117649